

Ph.:0172-3911701/68

Fax:0172-3911704

MARBLE FINVEST LIMITED

Regd. Office: 3, Industrial Area, Phase-I, Chandigarh-160002, CIN : L65910CH1984PLC021285

Email ID : marblefinltd@gmail.com, Website: www.marblefinvest.com

Ref No. Marble/SE/2021-22

Dated: 23/06/2021

Head- Listing & Compliance
Metropolitan Stock Exchange of India Ltd (MSEI)
Vibgyor Towers, 4th Floor,
Plot No. C62, G-Block, Opp. Trident Hotel,
BandraKurla Complex,
Bandra (E), Mumbai-400098

Subject: **Annual Secretarial Compliance Report for the financial year ended March 31, 2021.**

Dear Sir,

Please find enclosed copy of Annual Secretarial Compliance report dated June 21, 2021 for the financial year 2020-21 issued by V.P. Chhabra & Associates, Practicing Company Secretary and Secretarial Auditor of the Company, pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI circular dated February 8, 2019.

This is for your information and record. We request you to kindly take the same on your records.

This is for your information and record.

Thanking you,
Sincerely Yours

For Marble Finvest Limited

Sd/-
Kamal Jeet
(Director)

Encl: a/a

V.P. CHHABRA & ASSOCIATES

PRACTISING COMPANY SECRETARIES

386-C, Rajguru Nagar, Ludhiana, Punjab, INDIA.

Tel. No. : 0161-2452853, 98159-12386

Value Addition Through Compliance and Consultancy

Ref. No.

Dated : 21/6/2021

To
MARBLE FINVEST LIMITED
Plot No.3, Industrial Area, Phase-I,
Chandigarh

REF: SECRETARIAL COMPLIANCE REPORT of MARBLE FINVEST LIMITED
CIN: L65910CH1984PLC021285 having Registered Office at 3, Industrial Area, Phase-I,
Chandigarh for the year ended **31ST March, 2021.**

I, V.P. Chhabra, Practising Company Secretary having our office at 386-C, Rajguru Nagar, Ludhiana (PB), has examined:

- (a) All the documents and records made available to me and explanation provided by **MARBLE FINVEST LIMITED** (hereinafter referred to as "the listed entity"),
- (b) The filings/ submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) Any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended **March 31st 2021** ("Review Period") in respect of compliance with the provisions of :
 - (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
(There were no transactions during the period under review);
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
(There were no transactions during the period under review);
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
(There were no transactions during the period under review);
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
(There were no transactions during the period under review);

V.P. Chhabra



V.P. CHHABRA & ASSOCIATES

PRACTISING COMPANY SECRETARIES

386-C, Rajguru Nagar, Ludhiana, Punjab, INDIA.

Tel. No. : 0161-2452853, 98159-12386

Value Addition Through Compliance and Consultancy

Ref. No.

Dated : 21/6/2021

(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;

(There were no transactions during the period under review);

(g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations 2013;

(There were no transactions during the period under review);

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

(Complied during the review period);

(i) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Act and dealing with client.

(Complied during the review period);

And based on the above examination, we hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ Circulars/Guidelines including specific clause)	Deviations	Observations/ Remarks of the Practising Company Secretary
--	--	--	--

Note:- Mr. Suraj Chaudhary, Compliance Officer resigned w.e.f 14/03/2021.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. Fines, warning letter, debarment, etc.	Observations, Remarks of the Practising Company Secretary, if any.
--	--	--	--	--

Chhabra



V.P. CHHABRA & ASSOCIATES

PRACTISING COMPANY SECRETARIES

386-C, Rajguru Nagar, Ludhiana. Punjab, INDIA.

Tel. No. : 0161-2452853, 98159-12386

Value Addition Through Compliance and Consultancy

Ref. No.

Dated : 21/6/2021

(d) The listed entity has taken the following actions to comply with the observations made in previous reports

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations in the compliance for the ended on	made the secretarial report year 31.03.2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
--	--	--	--	--	--

For V.P. Chhabra & Associates
PRACTISING COMPANY SECRETARIES



V.P. Chhabra

Membership No: 7878

COP: 5916

UDIN:A007878C000490679

PLACE: Ludhiana

DATE:21/06/2021